

PATENT
450101-03067IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Miruka ISHII et al. *#5*

Int'l Application : PCT/JP01/01692

Int'l Filing Date : 5 March 2001

For : INVESTMENT SYSTEM AND DATA
TRANSMITTING/RECEIVING METHOD

U.S. Serial No. : 09/980,604

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I hereby certify that this correspondence is being
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Gordon Kessler, Reg. No. 38,511
Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature

March 15, 2002
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of Missing Requirements" (form PCT/DO/EO/905) dated January 30, 2002 on the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

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Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: Gordon Kessler

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Enclosure